

# Density Matrix Formalism

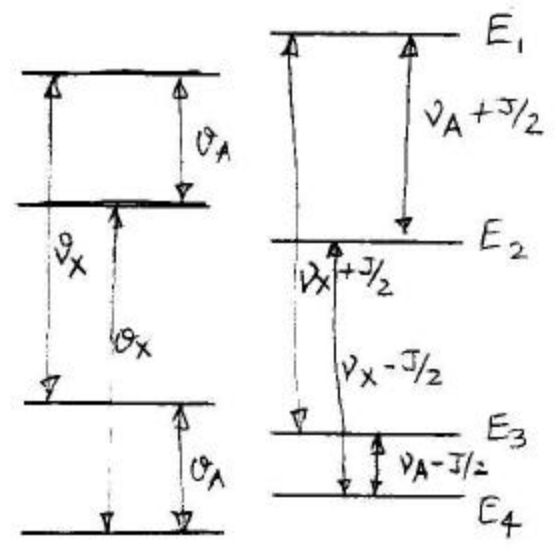
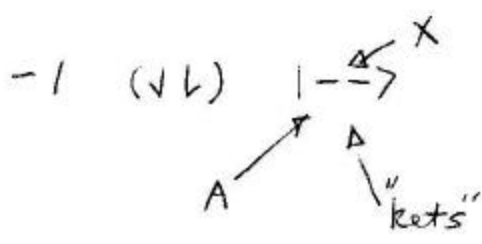
- only simplest NMR pulses can be properly described by vector representation

AX  $I = \frac{1}{2}$  - with four energy levels  
 $E_1$  to  $E_4$   
 - assume  $-\gamma$  (lowest energy  $E_4$  level oriented against the field)  
 •  $\vec{\mu}$  with the field  
 • Spin & momentum against the field

+1 (↑↑) |++>

0 (↓↑) | - + >

0 (↑↓) | + - >



## Density Matrix of Two-spin System

$$\begin{array}{l}
 |AX\rangle \\
 |++\rangle \\
 |--\rangle \\
 |+-\rangle \\
 |-+\rangle
 \end{array}
 \begin{bmatrix}
 |++\rangle & |--\rangle & |+-\rangle & |-+\rangle \\
 P_1 & & IQ_A & \\
 & P_2 & & \\
 & & P_3 & \\
 & & & P_4
 \end{bmatrix}
 \begin{array}{l}
 |++\rangle \\
 |--\rangle \\
 |+-\rangle \\
 |-+\rangle
 \end{array}$$

- off diagonal elements connect pairs of different states  $\equiv$  coherences

$|++\rangle \rightarrow |--\rangle$      A nucleus flipped  
 single quant. coher.  
 $IQ_A$   
 symmetric matrix

$|+-\rangle \rightarrow |-+\rangle$       $2Q_{AX}$   
 $ZQ_{AX}$      flip/flop.  
 $E_2 \rightarrow E_3$   
 (non-zero energy)

- diagonal = populations.

complete information on ensemble of spins...  
 obtain populations, <sup>macro</sup> magnetizations...

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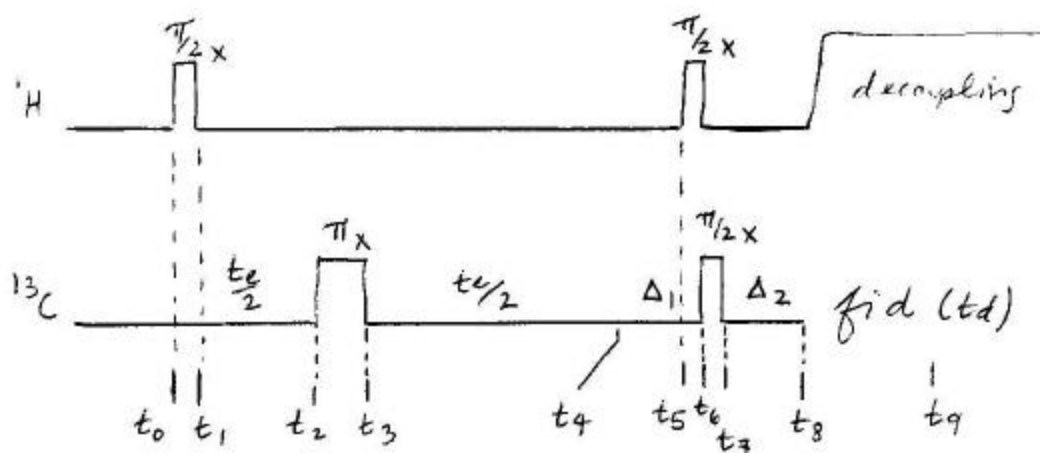
## 2D HETCOR (H/C COSY)

reveal pairwise correlation of diff.  
nuclear species ex) C-H or C-F  
based on scalar coupling

## Calculation Procedure:

- 1) thermal  $\Rightarrow$  populations (zero offdiagonal  $\epsilon$ )
- 2) effect of rf pulses (rotations)
- 3) evolution between pulses
- 4) " during acq.
- 5) observ. magnetiz. determination.

A:  $^{13}\text{C}$  X:  $^1\text{H}$



## Equilibrium Populations

determined by Boltzmann dist.

$$\frac{P_i}{P_j} = \frac{e^{-E_i/kT}}{e^{-E_j/kT}} = e^{(E_j - E_i)/kT}$$

least populated level

$$\frac{P_2}{P_1} = e^{(E_1 - E_2)/kT} = e^{[h(\nu_A + J/2)/kT]}$$

$\downarrow$   
 $10^8 \text{ Hz} - \text{vs} - 10^2 \text{ Hz}$   
 neglect  $J/2$   
 here (only)

$\frac{h\nu_A}{kT}$  are much smaller than 1.

$$T = 4.7 \text{ T}$$

$^{13}\text{C } \nu = 50 \times 10^6 \text{ Hz}$

$$p = \frac{h\nu_A}{kT} = \frac{6.6 \times 10^{-34} \text{ J s} \cdot 50 \cdot 10^6 \text{ s}^{-1}}{1.4 \times 10^{-23} \text{ (J/K)} \cdot 300 \text{ K}} = 7.85 \times 10^{-5}$$

$\therefore$  1<sup>st</sup> order series expansion.

$$P_2/P_1 = \exp(h\nu_A/kT) \approx 1 + (h\nu_A/kT) = 1 + p$$

$$P_3/P_1 = \nu_X \approx 1 + (h\nu_X/kT) = 1 + q$$

$$P_4/P_1 \approx 1 + [h(\nu_A + \nu_X)/kT] = 1 + p + q$$

for  $^{13}\text{C}/^1\text{H}$        $1:4$  or  $q = 4p$

Normalize sum of populations

$$P_1 = P_1$$

$$P_2 = (1+p) P_1$$

$$P_3 = (1+4p) P_1$$

$$P_4 = (1+5p) P_1$$

$$1 = P_1(4+10p) = P_1 S$$

or

$$P_1 = 1/S$$

$$P_2 = (1+p)/S$$

$$P_3 = (1+4p)/S$$

$$P_4 = (1+5p)/S$$

$$S = 4 + 10p \quad \leftarrow \text{is small}$$

$$\text{let } S \sim 4$$

So matrix at  $\Rightarrow$  is:

$$D(0) = \begin{bmatrix} P_1 & 0 & 0 & 0 \\ 0 & P_2 & 0 & 0 \\ 0 & 0 & P_3 & 0 \\ 0 & 0 & 0 & P_4 \end{bmatrix} = \frac{1}{4} \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1+p & 0 & 0 \\ 0 & 0 & 1+4p & 0 \\ 0 & 0 & 0 & 1+5p \end{bmatrix}$$

$$= \frac{1}{4} \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} + \frac{p}{4} \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 4 & 0 \\ 0 & 0 & 0 & 5 \end{bmatrix}$$

$\nearrow$   
unit matrix

not affected by  
evolution or  
rotation.

(much larger in  
magnitude)

$\nearrow$   
smaller

contains the population  
difference

$\Rightarrow$  matrix for other  
systems; <sup>built</sup> same way.

$t_0 \rightarrow t_1$   $\frac{\pi}{2}$  pulse (x axis)

$$D(1) = R^{-1} \underbrace{D(0)}_{1^{st} \text{ post}} R$$

Rotation operator:  $R_{90^\circ xH} = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 0 & i & 0 \\ 0 & 1 & 0 & i \\ i & 0 & 1 & 0 \\ 0 & i & 0 & 1 \end{bmatrix}$   $i = \sqrt{-1}$

$$R_{90^\circ xH}^{-1} = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 0 & -i & 0 \\ 0 & 1 & 0 & -i \\ -i & 0 & 1 & 0 \\ 0 & -i & 0 & 1 \end{bmatrix}$$

obtain by transposition & conjugation

follow Rules of matrix multiplication:

$$AB \neq BA$$

not commutative

$$A(BC) = (AB)C = ABC$$

associative

$$A(B+C) = AB + AC$$

distributive

Result after multiplication:

$$D(1) = \frac{1}{2} \begin{bmatrix} 4 & 0 & -4i & 0 \\ 0 & 6 & 0 & -4i \\ 4i & 0 & 4 & 0 \\ 0 & 4i & 0 & 6 \end{bmatrix} = \begin{bmatrix} 2 & 0 & -2i & 0 \\ 0 & 3 & 0 & -2i \\ 2i & 0 & 2 & 0 \\ 0 & 2i & 0 & 3 \end{bmatrix}$$

$\downarrow$  IQA  $\downarrow$  IQX  
 $\leftarrow$  IQX  $\leftarrow$  IQX

verify Hermitian [E below diag. is complex conj. of E above diag.]

conclude:  $90^\circ$  (H) pulse created IQH, ~~don't~~ didn't touch ISC, redistrib. populations

$$D(i) = \begin{bmatrix} 2 & 0 & -2i & 0 \\ 0 & 3 & 0 & -2i \\ 2i & 0 & 2 & 0 \\ 0 & 2i & 0 & 3 \end{bmatrix}$$

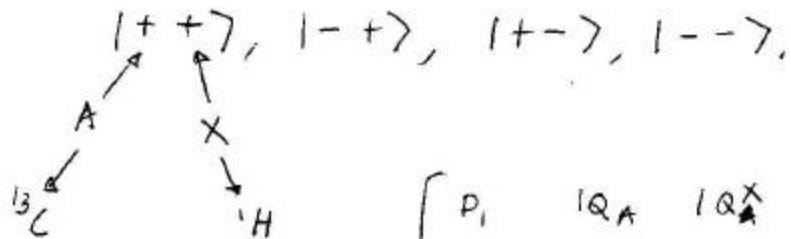
Annotations for  $D(i)$ :
 

- $1Q_{13C}$  points to the top-left element (2).
- $1Q_{1H}$  (circled) points to the top-right element (-2i).
- $2Q_{AX}$  points to the top-right element (-2i).
- $1Q_{1H}$  (circled) points to the bottom-right element (3).
- $1Q_{3C}$  points to the bottom-right element (3).
- $7Q_{13C}H$  points to the bottom-right element (3).

$$D(0) = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 4 & 0 \\ 0 & 0 & 0 & 5 \end{bmatrix}$$

$^{13}C$  unaltered  
 $^1H$  single & coherent.

AX



$$\begin{bmatrix} P_1 & 1Q_A & 1Q_X & 2Q_{AX} \\ & P_2 & 2Q_{AX} & 1Q_X \\ & & P_3 & 1Q_A \\ & & & P_4 \end{bmatrix}$$

$t_1 \rightarrow t_2$ 

Evolution:

$$d_{mn}(t) = d_{mn}(0) \exp(-i \omega_{mn} t)$$

matrix element  
row m  
column n

$d_{mn}(0)$   
starting pt just  
after pulse

$$\omega_{mn} = (E_m - E_n) / \hbar \quad \cdot \text{angular freq of transition } m \rightarrow n$$

- diagonal elements are invariant during evolution

$$\text{since } \exp[i(E_m - E_m) / \hbar] = 1$$

- off diagonal: periodic evolution

- E of  $d_{mn}(0)$  are  $D(1)$

obtain  $D(2)$  at  $t_2$   
elements

$$d_{13} \neq d_{24}$$

$\omega_{\text{proton}} \text{ freq (transmitt)}$

after  $t_e/2$

$$d_{13} = -2i \exp(-i \Omega_{13} t_e/2) = B$$

$$d_{24} = -2i \exp(-i \Omega_{24} t_e/2) = C$$

where

$$\Omega_{13} = \omega_{13} - \omega_{\text{proton}}$$

$$\Omega_{24} = \omega_{24} - \omega_{\text{proton}}$$

so

$$D(2) = \begin{bmatrix} 2 & 0 & B & 0 \\ 0 & 3 & 0 & C \\ B^* & 0 & 2 & 0 \\ 0 & C^* & 0 & 3 \end{bmatrix}$$

$B^*, C^*$  are complex  
conj. of  $B, C$ .



$t_2 \rightarrow t_3$  2<sup>nd</sup> Pulse

Rotation Operators:

$$R_{\pi^x C} = \begin{bmatrix} 0 & i & 0 & 0 \\ i & 0 & 0 & 0 \\ 0 & 0 & 0 & i \\ 0 & 0 & i & 0 \end{bmatrix} \quad R_{\pi^x C}^{-1} = \begin{bmatrix} 0 & -i & 0 & 0 \\ -i & 0 & 0 & 0 \\ 0 & 0 & 0 & -i \\ 0 & 0 & -i & 0 \end{bmatrix}$$

post

$$D_2 R_{180^x C} = \begin{bmatrix} 0 & 2i & 0 & iB \\ 3i & 0 & iC & 0 \\ 0 & i & iB^* & 2i \\ iC^* & 0 & 3i & 0 \end{bmatrix}$$

pre  $\bar{R}^{-1}$

$$D_3 = \begin{bmatrix} 3 & 0 & C & 0 \\ 0 & 2 & 0 & B \\ C^* & 0 & 3 & 0 \\ 0 & B^* & 0 & 2 \end{bmatrix}$$

Results  $D_3$  to  $D_2$   $\pi_C$  caused population inversion  
( $d_{11}$  x'chang.  $\bar{C}$   $d_{22}$ )

interch. coherences  $B \leftrightarrow C$  [ $d_{13} \leftrightarrow d_{24}$ ]

$B$ , after evolved  $\bar{C}$   $\omega_{13}$  during 1<sup>st</sup> half.

now evolves with  $\bar{C}$  at  $\omega_{24}$

$C$  switches from  $\omega_{24}$  to  $\omega_{13}$

$t_3 \rightarrow t_4$  Evolution

$$d_{13} = C \exp(-i \Omega_{13} t_e/2)$$

$$d_{24} = B \exp(-i \Omega_{24} t_e/2)$$

in lab frame

$$\omega_{13} = 2\pi(\nu_X - J/2) = \omega_H + \pi J$$

$$\omega_{24} = 2\pi(\nu_X - J/2) = \omega_H - \pi J$$

in rotating frame  $\omega$  becomes  $\Omega$

Simplify:

$$d_{13} = -2i \exp[-i(\Omega_H - \pi J)t_e/2]$$

$$\quad \cdot \exp[-i(\Omega_H + \pi J)t_e/2]$$

$$= -2i \exp(-i \Omega_H t_e)$$

$$d_{24} = -2i \exp(-i \Omega_H t_e) = d_{13}$$

Results:

- $D_4$  doesn't contain  $J$  (decoupled)  
 $\Omega_H$  (averg. shift) is expressed  $J$  is not.  
 center of doublet

- $J$  was present in  $D_2 \neq D_3$

- $t_e/2 - \pi_c - t_e/2$  Refocusing Routine

protons fast ( $\Omega_{13}$ ) during 1<sup>st</sup>  $t_e/2$  are slow ( $\Omega_{24}$ )  
 during 2<sup>nd</sup>  $t_e/2$  ...

(they changed labels)

$\Delta_1$ 

without ( $d_{13} = d_{24}$ ) a useful signal is canceled.

we want  
 Max signal  $d_{13} \neq d_{24}$  must be = but opposite in sign.

Evolution during  $\Delta_1$

$$\begin{aligned} d_{13}(5) &= d_{13}(4) \exp(-i \Omega_{13} \Delta_1) \\ &= -2i \exp(-i \Omega_H t) \exp[-i(\Omega_H + \pi J) \Delta_1] \\ &= -2i \exp(-i \Omega_H (t_e + \Delta_1)) \exp(-i \pi J \Delta_1) \end{aligned}$$

$$d_{24}(5) = -2i \exp(-i \Omega_H (t_e + \Delta_1)) \exp(+i \pi J \Delta_1)$$

we choose  $\Delta_1 = \frac{1}{2J}$  (which implies)  $\pi J \Delta_1 = \pi/2$  to achieve goal. Max signal

$$\exp(\pm i \pi/2) = \cos(\pi/2) \pm i \sin(\pi/2) = \pm i$$

$$\exp(-i \pi J \Delta_1) = -i$$

$$\exp(+i \pi J \Delta_1) = +i$$

$$\text{we } d_{13}(5) = -2 \exp[-i \Omega_H (t_e + \Delta_1)]$$

$$d_{24}(5) = +2 \exp[-i \Omega_H (t_e + \Delta_1)]$$

$$\text{let } C = \cos(\Omega_H (t_e + \Delta_1))$$

$$S = \sin(\Omega_H (t_e + \Delta_1))$$

USE Euler's formula  
 $e^{\pm ix} = \cos x \pm i \sin x$

which leads to  $d_{13}(5) = -2(C - iS)$

$$d_{24}(5) = +2(C - iS)$$

$$D_5 = \begin{bmatrix} 3 & 0 & -2(c-is) & 0 \\ 0 & 2 & 0 & 2(c-is) \\ -2(c+is) & 0 & 3 & 0 \\ 0 & 2(c+is) & 0 & 2 \end{bmatrix}$$

$t_5 \rightarrow t_7$

two separate pulses applied sequentially  $90_{xH} \rightarrow 90_{xC}$   
can lump operator to ONE matrix

$$R_{90_{xC,H}} = R_{90_{xC}} R_{90_{xH}}$$

$$= \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & i & 0 & 0 \\ i & 1 & 0 & 0 \\ 0 & 0 & 1 & i \\ 0 & 0 & i & 1 \end{bmatrix} \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 0 & i & 0 \\ 0 & 1 & 0 & i \\ i & 0 & 1 & 0 \\ 0 & i & 0 & 1 \end{bmatrix}$$

$$= \frac{1}{2} \begin{bmatrix} 1 & i & i & -1 \\ i & 1 & -1 & i \\ i & -1 & 1 & i \\ -1 & i & i & 1 \end{bmatrix}$$

$$\hat{\Sigma}^{-1} R_{90_{xC,H}}^{-1} = \frac{1}{2} \begin{bmatrix} 1 & -i & -i & -1 \\ -i & 1 & -1 & -i \\ -i & -1 & 1 & -i \\ -1 & -i & -i & 1 \end{bmatrix}$$

$$D_5 \cdot R_{90XC,H} = \frac{1}{2} \quad \text{paste here}$$

then  $R_{90XC,H}^{-1}$

$$D_7 = \frac{1}{2} \begin{bmatrix} 5 & i-4is & 0 & -4ic \\ -i+4is & 5 & 4ic & 0 \\ 0 & -4ic & 5 & i+4is \\ 4ic & 0 & -i-4is & 5 \end{bmatrix} \begin{matrix} 10x \\ 10x \end{matrix}$$

$D_5 \rightarrow D_7$  NOTE!

1)  $^{13}\text{C}$  coherences created in  $d_{12}, d_{34}$  due to  $90_{XC}$  pulse.

2)  $^1\text{H}$  information:

$$[s = \sin \Omega_H (t_c + \Delta_1)]$$

transferred from  $d_{13}, d_{24}$  into

$^{13}\text{C}$  coherences  $d_{12}, d_{34}$ .

$$d_{12} = \frac{i-4is}{2} \quad d_{34} = \frac{i+4is}{2}$$

$\therefore$  mixed  $^{13}\text{C}/^1\text{H}$  information is carried into  $f_{id}$

$\Delta_2$ observable signal  $\propto d_{12} + d_{34}$ 

without  $\Delta_2$  terms  $\bar{s}$  "s" cancel  
allow  $\Delta_2$  (short coupled evolution)

No more rf pulses - hence need on  $d_{12}, d_{34}$   
to get observable 1Q<sub>A</sub>

at  $t_8$   $d_{12}, d_{34}$  become

$$d_{12}(8) = i(1/2 - 2s) \exp(-i\Omega_{12}\Delta_2)$$

$$d_{34} = i(1/2 + 2s) \exp(-i\Omega_{34}\Delta_2)$$

where  $\Omega_{12} = \omega_{12} - \omega_{tr,c}$      $\Omega_{34} = \omega_{34} - \omega_{tr,c}$

in  $^{13}\text{C}$  Rotating frame     $\omega_{tr,c}$  the  $^{13}\text{C}$  trans. freq.

$$\nu_{12} = \nu_A + J/2 = \nu_C + J/2$$

$$\nu_{34} = - = \nu_C - J/2$$

since  $\omega = 2\pi\nu$ 

$$\left. \begin{aligned} \Omega_{12} &= \Omega_C + \pi J \\ \Omega_{34} &= \Omega_C - \pi J \end{aligned} \right\}$$

$$\text{so } d_{12}(8) = i(1/2 - 2s) \exp(-i\Omega_C\Delta_2) \exp(-i\pi J\Delta_2)$$

$$d_{34}(8) = \text{" + " " " + "}$$

$\Delta_2$  (cont)

if  $\Delta_2 = 0$  terms "s" (proton info) are lost  
when  $d_{12} + d_{34}$

as for  $\Delta_1$  best result  $\Delta_2 = 1/2 J$

$$\Rightarrow \exp(\pm i \pi J \Delta_2) = \pm i \quad (\text{previously})$$

$$\left. \begin{aligned} d_{12}(t) &= + (1/2 - 2s) \exp(-i \Omega_c \Delta_2) \\ d_{34}(t) &= - (1/2 + 2s) \exp(-i \Omega_c \Delta_2) \end{aligned} \right\} \begin{array}{l} \text{knowns} \\ i = \sqrt{-1} \\ i^2 = (-1)^2 = -1 \end{array}$$

Detection (evolution  $\bar{e}$  <sup>proton</sup> decoupling) evolve at  $\Omega_c$

$$d_{12}(t) = + (1/2 - 2s) \exp(-i \Omega_c \Delta_2) \exp(-i \Omega_c t d)$$

$$d_{34}(t) = - \quad " \quad " \quad "$$

observables:  $M_{\text{transverse } 13c} = M_{xc} + i M_{yc}$  ↗ combines x, y components

$$= - (4 M_{oc} / p) (d_{12} + d_{34})$$

$\nearrow$   $\rightarrow$   $13c$  mag.

$$= - M_{oc} (d_{12} + d_{34})$$

$\nearrow$  reintroduce  $p/4$  factor

$$i. M_{\text{trans. } 13c} = 4 M_{oc} s \exp(i \Omega_c \Delta_2) \exp(i \Omega_c t d)$$

expanding in "p"

$$= 4 M_{oc} \sin[\Omega_H (t_c + \Delta_1)] \exp(i \Omega_c \Delta_2) \exp(i \Omega_c t d)$$



hence the final Result of C/H COSY analysis contains all information.

- Findings
- 1)  $^{13}\text{C}$  magnet. rotates by  $\Omega_c t_d$  while modulated by proton.  $\Omega_H t_e$
  - 2) FT wrt each time domain <sup>( $t_d, t_e$ )</sup> yields a 2D spectrum
  - 3) signal enhanced by factor of 4  $\delta_H/\gamma_c$
  - 4) polarization transfer cannot be accounted for in other manners (vectors)

FT( $t_d$ ) of  $\exp(i\Omega_c t_d)$  others const.  
get  $\Omega_c$  (single peak)

FT( $t_e$ ) of  $\sin[\Omega_H (t_e + D_1)]$  others const.

$$\text{since } \sin \alpha = \frac{e^{i\alpha} - e^{-i\alpha}}{2i}$$

both  $+\Omega_H$  &  $-\Omega_H$  are obtained

Result:

